

PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION  
International Bureau



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 6: <b>A61F 2/06</b>		A1	(11) International Publication Number: <b>WO 95/10989</b> (43) International Publication Date: <b>27 April 1995 (27.04.95)</b>
(21) International Application Number: <b>PCT/US94/11670</b> (22) International Filing Date: <b>13 October 1994 (13.10.94)</b>		(81) Designated States: CA, JP, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).	
(30) Priority Data: 08/138,923 19 October 1993 (19.10.93) US 08/300,742 2 September 1994 (02.09.94) US		Published <i>With international search report. Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i>	
(71) Applicant: SCIMED LIFE SYSTEMS, INC. [US/US]; One Scimed Place, Maple Grove, MN 55311-1566 (US).			
(72) Inventor: BURGE, Andrew, W.; 5549 Nicollet Avenue South, Minneapolis, MN 55419 (US).			
(74) Agents: ARRETT, Oliver, F. et al.; Vidas, Arnett & Steinkraus, Suite 1540, 920 Second Avenue South, Minneapolis, MN 55402-4014 (US).			
(54) Title: INTRAVASCULAR STENT PUMP			
(57) Abstract A multilayer vascular therapeutic-containing prosthesis (10) designed and arranged to "pump" the therapeutics into the blood stream.			

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AT	Austria	GB	United Kingdom	MR	Mauritania
AU	Australia	GE	Georgia	MW	Malawi
BB	Barbados	GN	Guinea	NE	Niger
BE	Belgium	GR	Greece	NL	Netherlands
BF	Burkina Faso	HU	Hungary	NO	Norway
BG	Bulgaria	IE	Ireland	NZ	New Zealand
RJ	Benin	IT	Italy	PL	Poland
BR	Brazil	JP	Japan	PT	Portugal
BY	Belarus	KE	Kenya	RO	Romania
CA	Canada	KG	Kyrgyzstan	RU	Russian Federation
CF	Central African Republic	KP	Democratic People's Republic of Korea	SD	Sudan
CG	Congo	KR	Republic of Korea	SE	Sweden
CH	Switzerland	KZ	Kazakhstan	SI	Slovenia
CI	Côte d'Ivoire	LI	Liechtenstein	SK	Slovakia
CM	Cameroon	LK	Sri Lanka	SN	Senegal
CN	China	LU	Luxembourg	TD	Chad
CS	Czechoslovakia	LV	Latvia	TG	Togo
CZ	Czech Republic	MC	Monaco	TJ	Tajikistan
DE	Germany	MD	Republic of Moldova	TT	Trinidad and Tobago
DK	Denmark	MG	Madagascar	UA	Ukraine
ES	Spain	ML	Mali	US	United States of America
FI	Finland	MN	Mongolia	UZ	Uzbekistan
FR	France			VN	Viet Nam
GA	Gabon				

## INTRAVASCULAR STENT PUMP

### Cross-Reference to Related Application

This application is a continuation-in-part of Application Serial No. 5 08/138,923, filed October 19, 1993, the disclosure of which is hereby incorporated by reference.

### Background of the Invention

#### 1. Field of the Invention

10 This invention relates to a multi-layer vascular therapeutic-containing prosthesis designed and arranged to "pump" the therapeutics into the blood stream.

#### 2. Description of Related Art

Japanese Patent Application J63-97158A published April 27, 1988 15 discloses an artificial blood vessel having heparin in the pores of an inner wall sealed with a water-swelling polymer. Polyurethane is used as a continuous cell structure. The tubular structure is treated with heparin and a water-swelling polymer such as polyvinylpyrrolidone, polyethylene glycol, polyacrylic acid or the like.

U.S. Patent No. 5,085,629 issued to Goldberg et al on February 4, 1992 20 discloses a resorbable stent comprising a terpolymer of: L(-)lactide, glycolide, and epsilon caprolactone.

European Patent 382158A published on August 16, 1990 describes an implantable prosthesis comprising an absorbable layer 3, a permeable support layer 4 and another permeable layer 2 (page 5, lines 8-20). Absorbable polyesters include 25 polylactate, polyglycolate and poly-E-caprolactone ester, (page 6, lines 5-8). A tube of copolymer of polylactate and polyglycolate containing heparin (in sustained release form) can be cast from solution onto the surface of a silicone tube to serve as an anti-thrombotic artificial blood vessel (page 7, lines 20-27).

U.S. Patent 5,201,778 issued to Brotzu et al on April 13, 1993 discloses 30 a vascular prosthesis comprising a spindle between a prosthesis 1 and prosthesis 3 in which microcapsules are placed (Col. 2, lines 23-28). In such a vascular prosthesis, the hematic flow allows for the circulation of the hormones secreted by the cells (Col. 2, lines 36-40).

The prior art discloses resorbable stents made of polymers, e.g. polylactides, polyglycolates and caprolactones. U.S. Patent 5,085,629 is an example. A water swelling polymer e.g. polyvinylpyrrolidone, serving in an artificial blood vessel as a drug releasing wall of the vessel is shown in J63-97158A. The use of a 5 collagen as a supportive wall in an implanted artificial blood vessel is disclosed in U.S. Patent 5,028,597 for example.

#### Summary of the Invention

The prior art does not disclose the stent-like construction and 10 arrangement of the subject invention in which an inner porous support layer and an outer support layer trap and hold therebetween a swellable drug or therapeutic-containing layer.

#### Brief Description of the Figures

15 Figure 1 is a schematic showing of the stent-like vascular prosthesis according to the invention.

Figure 2 is a cross-section view of the prosthesis of Fig. 1 taken along line 2-2.

20 Figure 3 is a cross-section of the prosthesis of Figure 1 taken along line 3-3 of Figure 2, showing alternate forms of the invention.

#### Detailed Description of the Invention

The characteristics and advantages of the vascular prosthesis of the invention will be better understood from the following detailed description.

25 In its most preferred form, the stent-like construction comprises an intravascular, resorbable, UV curable drug delivery stent-pump in the form of a multi-layer cylindrical device constructed and arranged for implantation in a blood vessel or other duct or body passage. An inner polymeric layer and an outer polymeric layer support therebetween a swellable drug or therapeutic layer.

30 The stent-pump in this form is UV curable in situ to allow it to stay in the expanded state upon placement and expansion in a vessel. If the device was not cured upon deployment or implantation following expansion it would simply shrink back down to its pre-expanded size. The material, without curing, behaves much like a

rubber band. The device can be cured or rather "rigidified" in situ by means other than UV light. These other means may be:

5        1.      Exposure to a chemical that can initiate polymerization. Micro spheres which can contain a peroxide or strong acid may be dispersed throughout the inner and outer layers. Upon expansion of the device, these spheres would rupture, thus releasing the materials which effect the cure.

10        2.      Heat may also be employed to effect the cure. Heat sensitive initiators are stable at room temperature, but when exposed to elevated temperatures they decompose into fragments which may spontaneously start a polymerization.

15        The stent-pump is implanted via a modified balloon angioplasty catheter (not shown). The modification which needs to be made to a standard catheter is the fitting of the device with an optical fiber which is used to deliver the UV energy to the stent-pump, effecting the cure. An optical fiber (as well as the use of UV light) would not be necessary with the use of the "micro sphere technology". Optical fibers on catheters are known in the art. Inflation of the balloon expands the stent-pump cylindrical diameter which is then held in place until cured by the UV radiation or other curing means. The balloon is then deflated and the catheter is removed, leaving the expanded stent-pump in place.

20        The stent-pump of this embodiment is shown in Figures 1 and 2. It is cylindrical in form, the OD being selected to correspond substantially to the ID of the implantation site. Obviously, the device can be made in various selected sizes. The cylindrical structure generally designated at 10 is comprised of at least three layers, an inner layer 12, an intermediate layer 14, and an outer layer 16, and is arranged so that 25        blood can flow through it's inner diameter when implanted in a blood vessel. In this way blood being exposed to the permeable inner layer 12 can diffuse through it and into layer 14. The intermediate layer 14 is made up of a blood-swellable material. As it swells between the binding layers 12 and 16 upon absorbing blood in layer 14, it is subjected to a squeezing action. This pump-like action tends to force any drug or other 30        therapeutic contained in layer 14 through the porous layer 12 and into the blood stream. As already indicated, most preferably layers 12 and 14 are of polymeric material, although other materials may be used as is discussed hereinbelow.

As well as pumping, there is a diffusion process which occurs to liberate drug from the device. The actual dynamics of drug release can be described by two mechanisms. First of all, the intermediate layer would swell, then the drug/polymer mixture would be forced out. After the pressures equalize, the remainder of the 5 drug/polymer would elute out of the device via a diffusion mechanism. The terms "stent-pump" and "pump" or "pumping" are used herein in this sense.

The inner layer 12 is porous or perforated or otherwise permeable to allow contact between the blood or other fluid flowing through the device and the intermediate layer 14 as well as to allow diffusion therethrough and into the blood 10 stream or the like of the therapeutic and fluid squeezed from layer 14 as a result of its swelling between layers 12 and 16. The inner layer, when polymeric, can be made porous by one of several methods. One method involves scoring, or nicking the inner material. Upon expansion, the scored or nicked areas will stretch to the point of rupture, thus causing an open space. Another method involves the incorporation of a 15 microcrystalline, water soluble material into the inner layer. Upon expansion, the material will be exposed to the flow of blood, and the water soluble portion will dissolve. The ensuing voids provide the pore structure which is necessary for blood to contact and swell the middle layer of the device.

Layers 12 and 16 for the most preferred embodiment may be comprised 20 of, for example, polylactics, polyacetates, polyacrylates, polyglycol and/or polycarprolactones. Layer 16 may also be comprised of collagen, for example. The polymers that comprise a portion of layers 12 and 16 are preferably polyester, polyether, polyamide, or a mixture thereof. The specific polymer which was used in the initial prototypes was poly-D,L-Lactic acid. In addition to the foregoing, layer 16 25 will preferably include photocure or heat curable additives to render layer 16 hardenable such as by heat or UV. A list of materials which can be used to render layer 16 hardened or rigid is provided in the Table below. The reason why this is necessary is because the actual support of the device is provided by layer 16. The rigid layer 16 not only provides structural integrity to the device but can also act as a stent, keeping a 30 narrowed artery wall open. For example, layer 16 might be comprised of poly-D,L-lactic acid, ethylene glycol dimethacrylate and the photoinitiator 2-hydroxy-2-methyl-1-propanone.

TABLE 1

	Ethylene glycol dimethacrylate
	Cyclohexylmethacrylate
	Ethylene glycol diacrylate
5	Neopentyl glycol diacrylate
	Polyethylene glycol (GOO) dimethacrylate
	Tripropylene glycol diacrylate
	Lauryl methacrylate
	Stearyl methacrylate
10	Ethoxylated bisphenol A dimethacrylate
	Ethoxylated bisphenol A diacrylate
	Di-Trimethylol propane tetra acrylate (LTx)
	Iso decyl acrylate
	Dipurt acrythritol penta acrylate
15	Isobornyl methacrylate
	Ethoxylated trionethylol propane triacrylate (LTx)
	Highly ethoxylated bisphenol A dimethacrylate
	Propoxylated trimethylol propane triacrylate
	Tridecyl methacrylate
20	Ethoxylated pentaerythritol tetra acrylate
	caprolactone acrylate
	Highly ethoxylated TMPTA
	Highly propoxylated TMPTA
	Highly ethoxylated TMPTA
25	Isobornyl acrylate
	Propoxylated Neopentyl glycol diacrylate
	Glyceryl propoxy triacrylate
	Highly propoxylated glyceryl triacrylate

Intermediate layer 14, the blood or water swelling material, is the drug or therapeutic containing and dispensing matrix and may be comprised of, for example, polyvinylpyrrolidone, polyethylene glycol, polyethylene oxide or polyvinyl alcohol made up in an aqueous solution containing dissolved drug or the like. Any intravenous type drug may be included such as heparin, hirudin, and dextran to name a few. There are a plethora of pharmaceutical agents which may be incorporated into the device (layer 14). These should not be limited to the drugs used for heart/angioplasty purposes. One use of this device may be the treatment of local infection, another may be the delivery of antineoplastic agents to a tumor via its main blood supply. Also, the intermediate layer may contain therapeutic agents used to remedy an abnormal condition or disease state. There are many general classes of drugs that can be employed, from analgesics to urinary tract agents, it is difficult to list them all. An original focus was to incorporate thrombolytics such as urokinase or streptokinase, as well as the anticoagulants heparin, dextran or hirudin although this invention is clearly not limited to them.

Since the device provides support to a blood vessel as well as providing controlled release and/or pumping of therapeutics into the blood stream or the like, it is referred to herein as a stent-pump.

The device, in its most preferred form, may be made by preparing separate solutions of the three polymeric compositions selected to form the three layers 20 12, 14, and 16. A mandrel such as PTFE shrink tubing over a stiff rod is then dip coated by dipping the tubing into the solution of inner layer 12 material, than into the solution for intermediate layer 14 material, then into the solution for outer layer 16 material. Typically, about 14 dips into each solution provides an adequate dip coating 25 of each layer. This will, of course, vary depending on the size of the device desired, the concentration of the solutions, thickness of layers, etc. The dimensions of the finished device may vary from an outside diameter of a fraction of a millimeter up to ca. 1cm. The teflon mandrel is smaller than the finished device, and would typically be in the range of .1-3mm in outside diameter. The stiff rod would fit easily within the 30 teflon mandrel, being slightly smaller than the teflon heat-shrink tube. In one method of the dip coating technique a long tube of stent-pump be prepared and then individual stents are cut off. These individual stents are then mounted on a separate mandrel and then the ends are dip coated a number of times to seal the intermediate layer.

After hardening or curing of the dip coated laminate structure, it is removed from the mandrel by pulling out the core rod and by pulling on both exposed ends of the shrink tubing simultaneously to break it loose from the inner layer 12.

Another technique for making the device is to cast sheets of each layer 5 onto a smooth surface such as glass. Each sheet may be rolled or wrapped onto the mandrel or tubing successively, each wrapping being accompanied by rolling of the mandrel on a flat surface. The mandrel or tubing is then removed as already described.

In summary, the various components of the most preferred embodiment of the device may be described as follows:

10 Layer 16 - The outer layer may be comprised of a polymer (polyester, polyether, polyamine, etc.) a reactive monomer (see the line 13 list) and a polymerization initiator. The initiator need not be limited to photoreactive materials, but rather materials which can produce radicals or acids upon the delivery of energy to the device.

15 Layer 14 - The intermediate drug bearing layer comprises a mixture of a therapeutic agent in any water (or blood) swelling/dissolving natural or synthetic material. Natural materials may include polysaccharides, or blood serum components. Polyvinyl pyrrolidone, polyethylene glycol, and polyvinyl alcohol (etc.) can be used as synthetic materials.

20 Layer 12 - The inner, bodily fluid contacting layer may be prepared from the same materials as the outer layer except in one case a microcrystalline salt or otherwise water/blood soluble material is added for porosity. These materials can be simple inorganic salts, sugars or polysaccharides.

The preferred materials are as follows:

25 Layer 16 - poly-D,L-lactic acid, ethylene glycol dimethacrylate, and 2-hydroxy-2-methyl-1-phenyl-1-propanone;

Layer 14 - polyvinylpyrrolidone, water and sodium heparin; and

Layer 12 - identical to layer 16 with the addition of micro crystalline (sub micron to micron particle size) sodium heparin.

30 As already indicated, the preferred form of the invention is an expandable stent-like structure which may be expanded in situ to fit the vessel in which it is being placed. However, a stent-like structure of fixed diameter may also make use of the invention. In such an instance, stent pumps of various diameter would be available so

that a vessel of particular diameter could be accommodated by selecting the nearest and best fit.

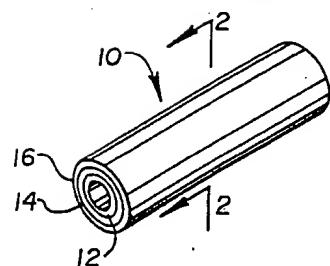
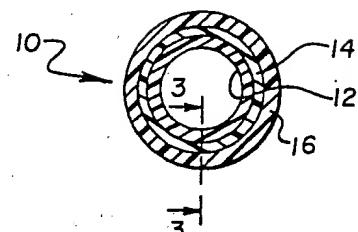
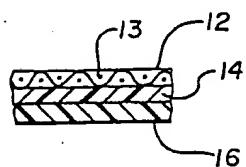
Also, as already indicated, layers 12 and 16 are preferably of expandable polymeric materials. However, they may be made of other materials such as rigid 5 plastics of which polylactide, polytetrafluoroethylene, polyurethane and acrylic based polymers are but a few examples. Inner layer 12 may be rendered permeable by including openings therein of a size selected to provide any desired flow through rate and diffusion rate. Metals such as stainless steel and nitinol may be used for these 10 layers as well in either a fixed diameter or expandable configuration as is known in the art. Furthermore, the metal and plastic for layers 12 and/or 16 may be in a mesh form, a screen form or a filamentary form as shown for layer 12 in Figure 3 and may further include collagen or other biological material 13 supported thereon. Examples of such 15 biological materials are shown in the following United States patents: 4,956,178; 4,902,508; 5,275,826; 5,281,422; 4,950,483; 5,110,064 and 5,024,841. Thickness of such support layers may range over .001 inch to .010 inch, for example. Such structures in their preferred cylindrical configuration may or may not be sealed at the ends, as described hereinabove.

While this invention may be embodied in many different forms, there are described in detail herein specific preferred embodiments of the invention. This 20 description is an exemplification of the principles of the invention and is not intended to limit the invention to the particular embodiments illustrated. These examples and the description will suggest many variations and alternatives to one of ordinary skill in this art. All these alternatives and variations are intended to be included within the scope 25 of the attached claims. Those familiar with the art may recognize other equivalents to the specific embodiments described herein which equivalents are also intended to be encompassed by the claims attached hereto.

What is claimed is as follows:

1. An implantable device constructed and arranged in a laminate structure, comprising:
  - 5 first and second spaced layers of support material, at least one of which is fluid permeable,
  - an intermediate third layer of a matrix material having fluid-swellable characteristics, the intermediate layer being held between the first and second layers, and
  - a drug or therapeutic contained in the intermediate layer.
- 10 2. The device of claim 1 wherein the first and second layers are of polymeric material.
3. The device of claim 1 in a stent-like configuration.
4. The device of claim 1 wherein at least one of the first and second layers is of polymeric material.
- 15 5. An implantable intravascular device having a generally cylindrical form constructed and arranged for blood flow therethrough, said device comprising a multi-layer wall, said wall comprising at least:
  - an inner binding layer of permeable support material;
  - an outer binding layer of support material, and
- 20 6. The device of claim 5 wherein the inner and outer layers of support material are an intermediate matrix layer of blood-swellable material, the matrix layer containing therapeutic materials to be dispersed into the blood.
6. The device of claim 5 wherein the inner and outer layers of support material are polymeric.
7. The device of claim 5 wherein at least one of the inner and outer layers is polymeric.
- 25 8. The device of claim 5 wherein the outer layer is comprised of poly-D,L-lactic acid, ethylene glycol dimethacrylate and 2-hydroxy-2-methyl-1-phenyl-1-propanone, the inner layer is comprised of the same material as the outer layer and additionally includes microcrystalline sodium heparin, and the intermediate layer is comprised of
- 30 9. The device of claim 5 wherein the outer layer is comprised of a polymer, a reactive monomer and a polymerization initiator; the intermediate layer is comprised of a swellable drug-bearing layer, and the inner layer is comprised of a porous material.

1/1

**Fig.1****Fig.2****Fig.3**

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/US94/11670

## A. CLASSIFICATION OF SUBJECT MATTER

IPC(6) :A61F 2/06

US CL :623/1

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 623/1, 11, 12; 600/36; 606/191-200

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US,A,5,104,403 (Brotzu et al) 14 April 1992, see column 1, line 66-column 2, line 31	1-7
X	US,A,5,207,705 (Trudell et al) 04 May 1993, see column 4, lines 26-column 5, line 18 and column 7, line 61-column 8, line 46	1-7 and 9

Further documents are listed in the continuation of Box C.  See patent family annex.

* Special categories of cited documents:		
"A"	document defining the general state of the art which is not considered to be part of particular relevance	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"E"	earlier document published on or after the international filing date	"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
"L"	document which may throw doubt on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
"O"	document referring to an oral disclosure, use, exhibition or other means	"&" document member of the same patent family
"P"	document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search

26 NOVEMBER 1994

Date of mailing of the international search report

17 FEB 1995

Name and mailing address of the ISA/US  
Commissioner of Patents and Trademarks  
Box PCT  
Washington, D.C. 20231

Facsimile No. N/A

Authorized officer

DEBRA S. BRITTINGHAM

Telephone No. (703) 308-3401

**THIS PAGE BLANK (USPTO)**